PTO/SB/97 (09-04)
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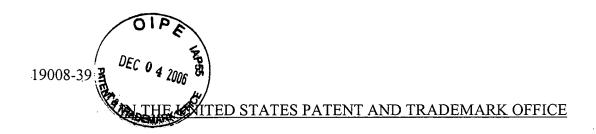
- (1) Certificate of Transmission (1 sheet)
- (2) Information Disclosure Statement and PTO/SB/08a form (4 sheets)
- (3) 6 Cited References
- (4) Postcard

Dund D. Juch	
Signature	
Daniel D. Sierchio (19	9008-39)
Typed or printed name of person si	gning Certificate
53,591	973-597-2500
Registration Number, if applicable	Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate Must identify each submitted paper.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



In re Application of:)	
• •	:	Examiner: N/A
William GADDY et al.	•)	
	:	TC/Art Unit: N/A
Application No.: 10/590,781)	
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Filed: August 25, 2006)	
	:	
For: SYSTEM AND METHOD FOR)	
PEER-TO-PEER CONNECTION OF	:	•
CLIENTS BEHIND SYMMETRIC)	
FIREWALLS	:	November 28, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO/SB/08a form. Copies of the cited U.S. published applications are not enclosed. Copies of the other cited references, however, are enclosed.

It is respectfully requested that the PTO/SB/08a form be initialed and returned, indicating that the cited documents have been considered.

It is believed that no fee is due. However, if a fee is deemed necessary, the Commissioner is authorized to charge any required fees to Deposit Account 501358. A copy of this fee authorization is submitted for accounting purposes.

Applicants' undersigned attorney may be reached by telephone at (973) 597-2500.

All correspondence should continue to be directed to our address listed below.

Respectfully submitted,

Daniel D. Sierchio Attorney for Applicants Registration No. 53,591

DOCKET ADMINISTRATOR Lowenstein Sandler PC 65 Livingston Avenue Roseland, NJ 07068

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Under the Paperwork Reduction Act of 1995, no persons are required

Substitute for form 1449A/PTO

DEC 0 4 2006

INFORMATION DISCLOSURES

(Use as many sheets as necessary)

Sheet 1 of 2

respond to a collection of inform	ation unless it contains a valid OMB control number	er.	
Complete if Known			
Application Number	10/590,781	_	
Filing Date	8/25/06	_	
First Named Inventor	William GADDY et al.	_	
Art Unit	N/A	_	
Examiner Name	N/A		
Attorney Docket Number	19008-39	7	

U.S.PATENT DOCUMENTS									
nitials*	No. ¹	Number-Kind Code ^{2 (if known})	- MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				
	1	US-6,978,383	12-20-05	BOYLE et al.					
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۳⁵
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Examiner	Date	-
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any commenta on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO		Сотр	Complete if Known		
		Application Number	10/590,781		
INFORMATI	ON DISCLOSUR	Filing Date	8/25/06		
STATEMEN	T BY APPLICAN	T First Named Inventor	William GADDY et al.		
(Use as many sheets as necessary)		Art Unit	N/A		
		Examiner Name	N/A		
Sheet 2	of 2	Attorney Docket Number	19008-39		

Examiner Initials* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher (January 12, 2001). Section 5.1 of "RFC 3027 - Protocol Complications with the IP Network Address Translator" (January 12, 2001). Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (October 10, 2000). Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000). Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999). Section 5.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999).	NON PATENT LITERATURE DOCUMENTS				
2 Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (October 10, 2000). 3 Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000). 4 Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999). 5 "NAT and peer-to-peer networking" (July, 17 1999). 6 USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they			magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue	T ²	
Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (October 10, 2000). Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000). Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999). "NAT and peer-to-peer networking" (July, 17 1999). USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they		1	1		
Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000). 4 Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999). 5 "NAT and peer-to-peer networking" (July, 17 1999). 6 USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they		2	Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (October 10, 2000).		
Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999). 5 "NAT and peer-to-peer networking" (July, 17 1999). 6 USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they		3	Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (July 18, 2000).		
"NAT and peer-to-peer networking" (July, 17 1999). 6 USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they		4	Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999).		
		5	"NAT and peer-to-peer networking" (July, 17 1999).		
		6			
Examiner Date	Examiner	1	Date		

Examiner Date	
Signature Considered	

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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